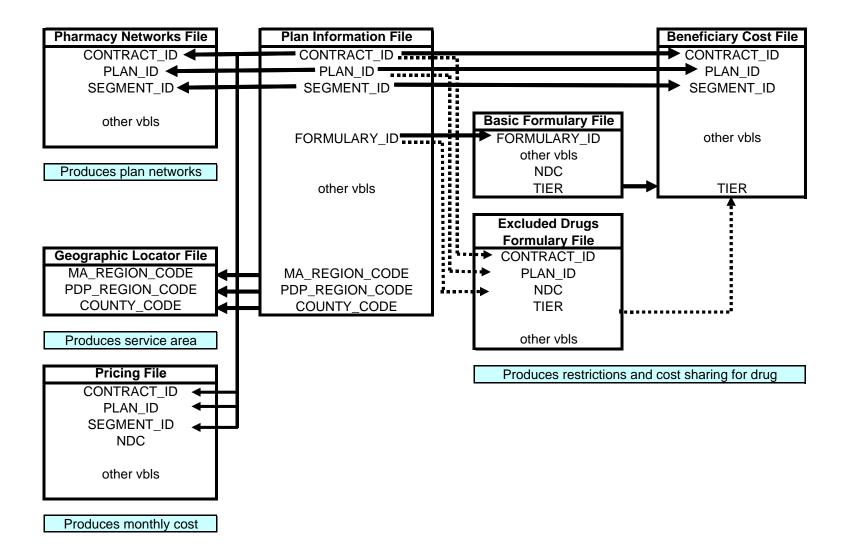
## The following Plans are not included in this Public Use File

- <sup>-</sup>Non Part D Plans
- <sup>-</sup>National PACE Plans
- <sup>-</sup>Employer Sponsored Plans
- <sup>-</sup>Demonstration Plans

Plans whose pharmacy data has been suppressed in the PUF reporting period due to plan request, data inaccuracy, or other issues identified by CMS appear in the Plan Information table with the PLAN\_SUPPRESSED\_YN field set to "Y." They do not appear in any other tables.

Effective January 1, 2011, the Medicare Coverage Gap Discount Program will make manufacturer discounts available to eligible Medicare beneficiaries receiving applicable, covered Part D drugs, while in the coverage gap. In order to participate in the Medicare Coverage Gap Discount Program, manufacturers must sign an agreement with CMS to provide the discount on all of its applicable drugs (i.e. prescription drugs approved or licensed under new drug applications or biologic license applications). Beginning in 2011, only those applicable drugs that are covered under a signed manufacturer agreement with CMS will be covered under Part D. This file does not reflect discounts applied to these drugs.



| PLAN_INFORMATION FILE |            |   |
|-----------------------|------------|---|
| Field Name            | Type(size) | Description   |
| CONTRACT_ID           | Char(5)    | Organization contract number assigned by CMS; the first letter of                 |
|                       |            | CONTRACT_ID signals the type of entity:   |
|                       |            | Hxxxx = Local Medicare Advantage (MA) plan  |
|                       |            | Rxxxx = Regional MA plan  |
|                       |            | Sxxxx = Stand-alone Prescription Drug Plan (PDP)                                  |
| PLAN_ID               | Char(3)    | Plan identifier assigned by CMS   |
| SEGMENT_ID            | Char(3)    | Segment ID for local MA-PD plans assigned by CMS (000)                            |
| CONTRACT_NAME         | Char(50)   |   |
| PLAN_NAME             | Char(42)   |   |
| FORMULARY_ID          | Char(8)    | Unique Identifier assigned to formulary   |
| PREMIUM               | 9(6.2)     | Monthly premium amount  |
| DEDUCTIBLE            | 9(6.0)     | Annual deductible amount  |
| ICL                   | 9(6.0)     | Annual initial coverage limit amount  |
| MA_REGION_CODE        | Char(2)    | Regional MA plan service area (applicable only for "R" contracts); table attached |
| PDP_REGION_CODE       | Char(2)    | PDP plan service area (applicable only for "S" contracts); table attached         |
| STATE                 | Char(2)    | 2-character State code (applicable only for "H" contracts Local MA plans)         |
| COUNTY_CODE           | Char(5)    | 5-character SSA State/County code (applicable only for "H" contracts Local        |
|                       |            | MA plans); see Geographic Locater file  |
| SNP                   | Char(1)    | Is this is Special Needs Plan and, if so, what type? (0=Not a SNP, 1=Chronic or   |
|                       |            | Disabling Condition, 2=Dual-Eligible, 3=Institutional)                            |
| PLAN_SUPPRESSED_YN    | Char(1)    | Was this plan's pharmacy data suppressed?   |

## MA\_REGION\_CODE values

- Northern New England (New Hampshire and Maine)
- 2 Central New England (Connecticut, Massachusetts, Rhode Island, and Vermont)
- 3 New York
- 4 New Jersey
- 5 Mid-Atlantic (Delaware, District of Columbia and Maryland)
- 6 Pennsylvania and West Virginia
- 7 North Carolina and Virginia
- 8 Georgia and South Carolina
- 9 Florida
- 10 Alabama and Tennessee
- 11 Michigan
- 12 Ohio
- 13 Indiana and Kentucky
- 14 Illinois and Wisconsin
- 15 Arkansas and Missouri
- 16 Louisiana and Mississippi
- 17 Texas
- 18 Kansas and Oklahoma
- 19 Upper Midwest and Northern Plains (Iowa, Minnesota, Montana, Nebraska, North Dakota, South Dakota and Wyoming)
- 20 Colorado and New Mexico
- 21 Arizona
- 22 Nevada
- 23 Northwest (Idaho, Oregon, Utah and Washington)
- 24 California
- 25 Hawaii
- 26 Alaska

## PDP\_REGION\_CODE values

- 1 Northern New England (New Hampshire and Maine)
- 2 Central New England (Connecticut, Massachusetts, Rhode Island, and Vermont)
- 3 New York
- 4 New Jersey
- Mid-Atlantic (Delaware, District of Columbia and Maryland)
- 6 Pennsylvania, West Virginia
- 7 Virginia
- 8 North Carolina
- 9 South Carolina
- 10 Georgia
- 11 Florida
- 12 Alabama, Tennessee
- 13 Michigan
- 14 Ohio
- 15 Indiana, Kentucky
- 16 Wisconsin
- 17 Illinois
- 18 Missouri
- 19 Arkansas
- 20 Mississippi
- 21 Louisiana
- 22 Texas
- 23 Oklahoma
- 24 Kansas
- Upper Midwest and Northern Plains (Iowa, Minnesota, Montana, Nebraska, North Dakota, South Dakota and Wyoming)
- 26 New Mexico
- 27 Colorado
- 28 Arizona
- 29 Nevada
- 30 Oregon, Washington
- 31 Idaho, Utah
- 32 California
- 33 Hawaii
- 34 Alaska
- 35 American Samoa
- 36 Guam
- 37 Northern Mariana Islands
- 38 Purto Rico
- 39 U.S. Virgin Islands

## **BASIC DRUGS FORMULARY FILE**

| Field Name             | Type(size) | Description  |
|------------------------|------------|--|
| FORMULARY_ID           | Char(8)    | Unique ID assigned to each newly created formulary                       |
| FORMULARY_VERSION      | 9(3)       | Version ID   |
| CONTRACT_YEAR          | Char(4)    | Contract year  |
| RXCUI                  | Char (6)   | RxNorm concept unique identifier   |
| NDC                    | Char (11)  | 11-digit proxy National Drug Code (NDC) associated with the drug product |
| TIER_LEVEL_VALUE       | 9(2)       | Cost share tier level associated with the NDC                            |
| QUANTITY_LIMIT_YN      | Char(1)    | Does this NDC have a quantity limit restriction?                         |
| QUANTITY_LIMIT_AMOUNT  | 9(6)       | Quantity limit amount associated with this NDC                           |
| QUANTITY_LIMIT_DAYS    | 9(6)       | Quantity limit days associated with this NDC                             |
| PRIOR_AUTHORIZATION_YN | Char(1)    | Is prior authorization required for this NDC?                            |
| STEP_THERAPY_YN        | Char(1)    | Does Step Therapy apply to this NDC?                                     |

| <b>EXCLUDED DRUGS FORMULARY FILE</b> |            |   |
|--------------------------------------|------------|---|
| Field Name                           | Type(size) | Description   |
| CONTRACT_ID                          | Char(5)    | Organization contract number assigned by CMS                              |
| PLAN_ID                              | Char(3)    | Plan identifier assigned by CMS   |
| NDC                                  | Char(11)   | 11-digit National Drug Code (NDC) associated with the drug product. A NDC |
|                                      |            | is specified for every unique generic and brand drug, strength, dosage    |
|                                      |            | formulation, and route of administration covered.                         |
| TIER                                 | 9(2)       | Cost share tier associated with this drug product                         |
| QUANTITY_LIMIT_YN                    | Char(1)    | Does this NDC have a quantity limit restriction?                          |
| QUANTITY_LIMIT_AMOUNT                | Char(7)    | Quantity limit amount associated with this NDC                            |
| QUANTITY_LIMIT_DAYS                  | 9(6)       | Quantity limit days associated with this NDC                              |
| PRIOR_AUTH_YN                        | Char(1)    | Is prior authorization required for this NDC?                             |
| STEP_THERAPY_YN                      | Char(1)    | Does Step Therapy apply to this NDC?                                      |
| CAPPED_BENEFIT_YN                    | Char(1)    | Does this NDC have a capped benefit restriction?                          |

| BENEFICIARY COST FILE |            |   |
|-----------------------|------------|---|
| Field Name            | Type(size) | Description   |
| CONTRACT_ID           | Char(5)    | Organization contract number assigned by CMS  |
| PLAN_ID               | Char(3)    | Plan identifier assigned by CMS   |
| SEGMENT_ID            | Char(3)    | Segment ID for local MA-PD plans assigned by CMS (zero  |
|                       | - 1.1      | for all other)  |
| COVERAGE_LEVEL        | 9(1)       | Coverage type for beneficiary with no subsidy. 0=predeductible, 1=initial coverage, 2=coverage gap, |
|                       |            | 3=catastrophic  |
| TIER                  | 9(2)       | Cost Share tier value   |
| DAYS_SUPPLY           | 9(1)       | Length of days supply to which cost structure applies.  |
|                       |            | 1=30days, 2=90 days, 3=other  |
| COST_TYPE_PREF        | 9(1)       | Type of cost sharing at preferred pharmacies. 1=copay,  |
|                       |            | 2=coinsurance   |
| COST_AMT_PREF         | 9(4)v9(4)  | Amount of cost sharing at preferred pharmacies. If  |
|                       |            | COST_TYPE_PREF=1, this field is interpreted as  |
|                       |            | \$\$\$\$cccc for example, 00100000 is interpreted as  |
|                       |            | \$10.00. If COST_TYPE_PREF=2, this field is interpreted   |
|                       |            | as a 4-decimal-place number for example, 00002500 is  |
|                       |            | interpreted as 0.25 or 25%  |
| COST_TYPE_NONPREF     | 9(1)       | Type of cost sharing at nonpreferred pharmacies.  |
|                       |            | 1=copay, 2=coinsurance  |
| COST_AMT_NONPREF      | 9(4)v9(4)  | Amount of cost sharing at nonpreferred pharmacies. See  |
|                       |            | description of COST_AMT_PREF.   |
| COST_TYPE_MAIL        | 9(1)       | Type of cost sharing at mailorder pharmacies. 1=copay,  |
|                       |            | 2=coinsurance   |
| COST_AMT_MAIL         | 9(4)v9(4)  | Amount of cost sharing at mailorder pharmacies. See   |
|                       |            | description of COST_AMT_PREF.   |
| TIER_SPECIALTY_YN     | Char(1)    | Is this tier designated as a specialty tier? Note – not all   |
|                       |            | plans offer specialty tiers due to the plan benefit design.   |
|                       |            | Plans are not required to designate any tiers as specialty  |
|                       |            | tiers.  |
| DED_APPLIES_YN        | Char(1)    | Does the deductible apply to this tier?   |

| GEOGRAPHIC LOCATOR FILE |            |   |               |
|-------------------------|------------|---|---------------|
| Field Name              | Type(size) | Description                                       |               |
| COUNTY_CODE             | Char(5)    | 5-character SSA State/county code                 |               |
| STATENAME               | Char(20)   | State name  |               |
| COUNTY                  | Char(30)   | County name                                       |               |
| MA_REGION_CODE          | Char(2)    | Regional MA service area (applicable only for "F  | R" contracts) |
| MA_REGION               | Char(150)  | Description of Regional MA region                 |               |
| PDP_REGION_CODE         | Char(2)    | Plan service area (applicable only for "S" contra | cts)          |
| PDP_REGION              | Char(150)  | Description of PDP region                         |               |

| PHARMACY NETWORKS FILE  |            |   |
|-------------------------|------------|---|
| Field Name              | Type(size) | Description   |
| CONTRACT_ID             | Char(5)    | Organization contract number assigned by CMS                    |
| PLAN_ID                 | Char(3)    | Plan identifier assigned by CMS                                 |
| SEGMENT_ID              | Char(3)    | Segment ID for local MA-PD plans assigned by CMS (zero for      |
|                         |            | all other)  |
| PHARMACY_NUMBER         | Char(12)   | 12-digit Pharmacy Number. 10 digit National Provider Identifier |
|                         |            | (NPI) with leading one and zero                                 |
| PHARMACY_ZIPCODE        | Char(5)    | ZIPCode for pharmacy  |
| PREFERRED_STATUS_RETAIL | Char(1)    | Is the retail pharmacy preferred? (Y/N)                         |
| PREFERRED_STATUS_MAIL   | Char(1)    | Is the mail pharmacy preferred? (Y/N)                           |
| PHARMACY_RETAIL         | Char(1)    | Is the pharmacy a retail outlet? (Y/N)                          |
| PHARMACY_MAIL           | Char(1)    | Is the pharmacy a mailorder outlet? (Y/N)                       |
| IN_AREA_FLAG            | 9(1)       | Pharmacy ZIPCode places it in the plan service area (1=yes)     |

| PRICING FILE |            |   |
|--------------|------------|---|
| Field Name   | Type(size) | Description   |
| CONTRACT_ID  | Char(5)    | Organization contract number assigned by CMS  |
| PLAN_ID      | Char(3)    | Plan identifier assigned by CMS   |
| SEGMENT_ID   | Char(3)    | Segment ID for local MA-PD plans assigned by CMS (zero for all other)   |
| NDC          | Char (11)  | 11-digit proxy National Drug Code (NDC) associated with the drug product  |
| COST_30DAY   | 9(4)v9(4)  | Average monthly cost at in-area retail pharmacies. A pharmacy is considered in-<br>area when it is geographically located in the plan's service area. |
|              |            | a.eae. segerapea., ieea.ea ine plante control area.   |